

**HAND
DELIVERED**

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LEGISLATIVE RESOURCE CENTER

2019 MAY 14 PM 4:15

(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

**UNITED STATES HOUSE OF REPRESENTATIVES
2018 FINANCIAL DISCLOSURE STATEMENT**

Form A
For Use by Members, Officers, and Employees

Name: Joseph P. Kennedy III Daytime Telephone: 202-225-5931

| | | | | | |
|---------------------|---|--|--|----------------------------|---|
| FILER STATUS | <input checked="" type="checkbox"/> Member of the U.S. House of Representatives | State: <u>MA</u> District: <u>4</u> | <input type="checkbox"/> Officer or Employee | Employing Office: _____ | Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant |
| REPORT TYPE | <input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019) | <input type="checkbox"/> Amendment | <input type="checkbox"/> Termination | Date of Termination: _____ | |

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | | | |
|--|---|--|---|
| A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" | |

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

| | |
|--|---|
| IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

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Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | | |
|---|----------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|---|----|-----|----|---|----|-----|------|----|---|------------------------|-----|---------------------|--|--|
| SP, DC, JT | ASSET NAME | None | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | | |
| JT | Agilent Technologies Inc. | | | | | X | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| JT | Amazon Com Inc. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | Avalonbay Comm Inc. Com | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | Chubb Ltd. | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | CVS/Caremark Corp. Com | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | Deere & Co. Com | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | Disney Co | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | ECOLAB Inc. Com | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | General Electric Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | Honeywell Intl. Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | Intel Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | ISHares Core MSCI EAFE ETF | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | Marriott Intl Inc CLA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | Medtronic PLC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | McDonalds Corp. Com | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | MetLife Inc. | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Joseph P. Kennedy III

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | |
|---|-----------------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|---|----|-----|----|---|----|-----|------|----|---|------------------------|-----|---------------------|--|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | None | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$1-\$1,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$1,001-\$15,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$15,001-\$50,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$50,001-\$100,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$100,001-\$250,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$250,001-\$500,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$500,001-\$1,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$1,000,001-\$5,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$5,000,001-\$25,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$25,000,001-\$50,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Over \$50,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Spouse/DC Asset over \$1,000,000* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| JT | Microsoft Corp. Com | | | | | | X | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Nestle S A Sponsored ADR | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Rockwell Automation INC | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Texas Instruments Inc. Com | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | United Parcel Service CLS | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Verizon Comm Inc. | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Waste Mgmt Inc. Del Com | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Wells Fargo Co. | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Eagle Midcap Growth Fund | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Alphabet Inc. CL A | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Alphabet Inc. CL C | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | United Technologies Corp. | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Vanguard FTSE Dev Mkts ETF | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| JT | Dell Technologies* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| SP | Fidelity Government Money | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Market Fund SEP IRA (SPAXX) | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* Below threshold prior year

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | |
|---|---------------------------------|-----|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|--|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | Trust U/IND Frances B. Rauch | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| | FBO Sheila R. Kennedy* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assets Include: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | SSGA Inst US Treas MM Inv CL ED | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Abbott Labs | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Agilent Technologies | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Amheuser Busch | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Amazon Com Inc. Com | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Analog Devices Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Bank of America | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Beckton Dickinson & Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Black Knight Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Chevron Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Chubb Ltd. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Coca Cola | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Conagra Foods Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.
Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | |
|---|----------------------------|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------|---|---------------------|---|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | | |
| | Crown Castle Intl Co. REIT | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Deere & Co. Com | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Disney & Co. | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Ecolab Inc. Com | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Facebook Inc. CL A | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | First Rep Bk | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | General Electric Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | S |
| | Honeywell Intl. Inc. | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | JP Morgan Chase & Co. Com | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Johnson & Johnson Com | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Marriott Intl Inc. | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Medtronic PLC | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | McDonalds Corp. | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | S |
| | Mellife Inc. | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Microsoft Corp. Com | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Nestle SA Sponsored ADR | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | |
|---|--------------------------------|---------------------------|------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|---------------------|------|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | | |
| | Nextera Energy Inc. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Pfizer Inc. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Procter and Gamble Co. | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Schlumberger Ltd. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Schwab Charles Corp | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Rockwell Automation Inc. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Texas Instruments Inc. Com | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | United Parcel Services CL B | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Verizon Communications, Inc. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Walmart Stores Inc. Com | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Waste Mgmt Inc. Del Com | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wells Fargo | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Alphabet CL A | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Alphabet CL C | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brighthouse Financial Inc. | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | S |
| | Fairfax Financial Holdings Ltd | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P, S |

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[illegible]

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | |
|---|---|---------------------------|------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|---------------------|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E |
| | | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | |
| | Tax Exempt Trust UMW | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | |
| | Frances B. Rauch f/b/o | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Sheila Rauch Kennedy and Her Descendents* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assets Include: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | State Street Bank & Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Dreyfus Treas Sec Cash Mgt Fd | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Multi-Strategy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Trust Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Structured Index Fd | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Global Com Tr Fd | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Spl Eq Pnrs, LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Global Pnrs, LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | END of Trust UMW of | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Frances B. Rauch | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.
Use additional sheets if more space is required.

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* Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Joseph P. Kennedy III

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | |
|---|-----------------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|---|----|-----|----|---|----|-----|------|----|---|------------------------|-----|---------------------|--|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | None | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$1-\$1,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$1,001-\$15,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$15,001-\$50,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$50,001-\$100,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$100,001-\$250,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$250,001-\$500,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$500,001-\$1,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$1,000,001-\$5,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$5,000,001-\$25,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$25,000,001-\$50,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Over \$50,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Spouse/DC Asset over \$1,000,000* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Eleanor G. Brewster Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | U/A dated 12/19/1936 as | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Appointed U/W Frances B. Rauch | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | f/b/o Sheila Rauch Kennedy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | and Her Descendents* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assets include: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | State Street Bank & Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Dreyfus Treas Sec Cash Mgt Fd | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Eaton Vance Small Cap Fund | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Abbott Labs | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Accenture PLC Ireland | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Alphabet Inc. CL A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Alphabet Inc. CL C | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Amazon Inc | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Agilent Technologies Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Analog Devices Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* Filer is a discretionary beneficiary. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000. There were no distributions during 2018.
Use additional sheets if more space is required.

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | |
|---|--------------------------------|---------------------------|------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|---------------------|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E |
| | | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | |
| | Anheuser Busch | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | S | |
| | Avalonbay Communities Inc. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | P, S | |
| | Bank of America Corp. | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P, S | |
| | Chubb Ltd. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Coca Cola Company | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | E, S | |
| | Conagra Foods | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Crown Castle Intl REIT | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Deere & Co. | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Disney Walt Co. | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Ecolab Inc. | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Eli & Lilly & Co. | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Exxon Mobil Corp. | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | S (part) |
| | Facebook Inc. CL A | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fairfax Financial Holdings Ltd | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P, S |
| | First Republic Bank San Fran | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | P |
| | General Electric Co. | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | S |

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Joseph P. Kennedy III**

Page **13** of **36**

| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | |
|---|-----------------------------|---------------------------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------|---|------|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | |
| | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | |
| | Goldman Sachs Financial Sq. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | Treasury Instruments Fund | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P, S |
| | iShares Core MSCI EAFE ETF | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | JP Morgan Chase & Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Marriott Intl Inc CLA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | McDonalds Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Merck & Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | MellLife Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brighthouse Financial Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Microsoft Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Mondelez Intl. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Oracle Systems Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Pinnacle Foods Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Procter & Gamble Co. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Rockwell Intl Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Schlumberger Ltd. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | |
|---|----------------------------|---------------------------|------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|-----------------------|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, Spouse, or E |
| | | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | |
| | Schwab Charles Corp. | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | SPDR Index SHS FDS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | Portfolio EMG MK ETF | X | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | Tractor Supply Co. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | United Parcel Service | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | US Bancorp | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Vanguard FTSE Dev Mkts ETF | X | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | P |
| | Vanguard MSCI Emg Mkts ETF | X | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P, S |
| | Wal Mart Stores | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Microchip Technology Inc. | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Verizon Communications | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Nextera Energy Inc. | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Waste Management Inc. | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Nestle SA Sponsored ADR | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Global Common | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Trust Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | |
|---|-----------------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|-----------|------|----------|---------------|----------------------|--------------|--|---|----|-----|----|---|----|-----|------|----|---|----|------------------------|---------------------|
| SP, DC, JT | ASSET NAME | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E |
| | None | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$1-\$1,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$1,001-\$15,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$15,001-\$50,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$50,001-\$100,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$100,001-\$250,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$250,001-\$500,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$500,001-\$1,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$1,000,001-\$5,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$5,000,001-\$25,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$25,000,001-\$50,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Over \$50,000,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Spouse/DC Asset over \$1,000,000* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Multi Strategy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Trust Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Priv. Eq LP (2003) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Spl Eq Ptnrs. LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Spl Eq Ptnrs II, LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2005) LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2006) LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Global Ptnrs. LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2007) LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2008) LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2011) LP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | |
|---|----------------------------|---------------------------|------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|---------------------|--|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2012) LP | X | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2013) LP | X | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2014) LP | X | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2016) LP | X | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2017) LP | X | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Partners (2018) LP | X | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Ptms Yorktown Series 2006 | X | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Wine Private Equity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Ptms Yorktown Series 2008 | X | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | |
|---|--------------------------------|---------------------------|------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|---------------------|--|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | | |
| | George S. Brewster Trust | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | |
| | U/A dated 12/12/1934 as | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Appointed U/W Frances B. Rauch | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | f/b/o Sheila Rauch Kennedy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | and Her Descendents* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Assets Include: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | State Street Bank & Trust | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Dreyfus Treas Sec Cash Mgt Fd | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Eaton Vance Small Cap Fund | X | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Accenture PLC Ireland | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Agilent Technologies Inc. | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Amazon Inc | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Anheuser Busch | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Bank of America Corp. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Black Knight Inc. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Chubb Ltd. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* Filer is a discretionary beneficiary and has never received any income from this trust. Filer has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.
Use additional sheets if more space is required.

SCHEDULE A – ASSETS & "UNEARNED INCOME"

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | |
|---|--------------------------------|---------------------------|------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|---------------------|------|
| SP, DC, JT | ASSET NAME | EFF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | | |
| | Coca Cola Company | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | E, S |
| | Conagra Foods | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Crown Castle Intl REIT | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Deere & Co. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Disney Walt Co. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Ecolab Inc. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Facebook Inc. CL A | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Fairfax Financial Holdings Ltd | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | First Republic San Fran | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | General Electric Co. | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Analog Devices Inc. | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | iShares Core MSCI EAFE ETF | X | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Marriott Intl Inc. CL A | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | McDonalds Corp. | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Medtronic PLC | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | MetLife Inc. | X | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | |
|---|---------------------------------------|---------------------------|------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|---------------------|------|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | | |
| | Wells Fargo and Company | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Multi Strategy | X | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Trust Fund | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Global Common Trust Fund | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Abbott Labs | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Alphabet CL A | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Alphabet CL C | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Microchip Technology Inc. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Nextera Energy Inc. | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Vanguard MSCI Emg Mkts ETF | X | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P, S |
| | END of George S. Brewster | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Trust U/A 12/12/1934 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Lines 14-16 intentionally left blank | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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* Represents a distribution to filer from Residuary Trust UMW George S. Brewster. Filer is a discretionary beneficiary and has disclosed 100% of the assets of the trust that have a value in excess of \$1,000.

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SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | | | |
|---|-----------------------------|---------------------------|---|---|---|---|---|---|---|---|---|---|---|---|---------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|---|----|-----|----|---|----|-----|------|----|---|------------------------|-----|---------------------|------|--|--|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | | | |
| | Marriott Int'l. CL A | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | McDonalds Corp. | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Microsoft Corp. | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Microchip Technologies Inc. | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Mondelez Intl. | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Nestle SA Sponsored ADR | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Nextera | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Pinnacle Foods Inc. | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P, E | | |
| | Rockwell Intl. Corp. | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Schwab Charles Corp. | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P | | |
| | SPDR Index SHS FDS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Portfolio EMG MK ETF | X | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P | | |
| | TAX COS Inc. | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Tractor Supply Co. | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P | | |
| | Vanguard FTSE Dev. Mkts ETF | X | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | P | | |
| | Vanguard MSCI Emrg Mkts ETF | X | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | P, S | | |

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: **Joseph P. Kennedy III**

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| BLOCK A Assets and/or Income Sources | | BLOCK B Value of Asset | | | | | | | | | | | | | BLOCK C Type of Income | | | | | | | BLOCK D Amount of Income | | | | | | | | | | | | BLOCK E Transaction | | | |
|---|--------------------------------------|---------------------------|------|-------------|------------------|-------------------|--------------------|---------------------|---------------------|-----------------------|-------------------------|--------------------------|---------------------------|-------------------|-----------------------------------|------|-----------|------|----------|---------------|----------------------|-----------------------------|--|------|-----------|---------------|-----------------|-----------------|------------------|-------------------|--------------------|-----------------------|-------------------------|------------------------|---|---------------------|--|
| SP, DC, JT | ASSET NAME | EIF | A | B | C | D | E | F | G | H | I | J | K | L | M | NONE | DIVIDENDS | RENT | INTEREST | CAPITAL GAINS | EXCEPTED/BLIND TRUST | TAX-DEFERRED | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | I | II | III | IV | V | VI | VII | VIII | IX | X | XI | XII | P, S, S(part), or E | |
| | | | None | \$1-\$1,000 | \$1,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$250,000 | \$250,001-\$500,000 | \$500,001-\$1,000,000 | \$1,000,001-\$5,000,000 | \$5,000,001-\$25,000,000 | \$25,000,001-\$50,000,000 | Over \$50,000,000 | Spouse/DC Asset over \$1,000,000* | | | | | | | | | None | \$1-\$200 | \$201-\$1,000 | \$1,001-\$2,500 | \$2,501-\$5,000 | \$5,001-\$15,000 | \$15,001-\$50,000 | \$50,001-\$100,000 | \$100,001-\$1,000,000 | \$1,000,001-\$5,000,000 | Over \$5,000,000 | Spouse/DC Asset with Income over \$1,000,000* | | |
| | Verizon Comm Inc. | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Wells Fargo and Company | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Global | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Trust Fund | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Multi Strategy | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Common Trust Fund | X | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Sptl Eq Ptns LP | X | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Brandy Trust Global Ptns LP | X | | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | END of Residuary Trust U/W | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | of George S. Brewster | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Lines 11-16 intentionally left blank | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

SCHEDULE B - TRANSACTIONS

Name: **Joseph P. Kennedy III**

Page **26** of **36**

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gain: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

| SP, DC, JT | Asset | Type of Transaction | | | | Check Box if Capital Gain Exceeded \$200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable | Amount of Transaction | | | | | | | | | | |
|------------|---|---------------------|------|--------------|----------|--|---|---------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|------------------------|---|
| | | Purchase | Sale | Partial Sale | Exchange | | | A \$1,001- \$15,000 | B \$15,001- \$50,000 | C \$50,001- \$100,000 | D \$100,001- \$250,000 | E \$250,001- \$500,000 | F \$500,001- \$1,000,000 | G \$1,000,001- \$5,000,000 | H \$5,000,001- \$25,000,000 | I \$25,000,001- \$50,000,000 | J Over \$50,000,000 | K Over \$1,000,000* (Spouse/DC Asset) |
| SP | Example Mega Corp. Stock | | | X | | X | 3/9/18 | | X | | | | | | | | | |
| JT | CVS Health Corp (Page 3 Item 5) | | X | | | X | 1/2/2018 | X | | | | | | | | | | |
| JT | General Electric (Page 3 Item 9) | | X | | | X | 1/2/2018 | X | | | | | | | | | | |
| JT | Honeywell Inc. (Page 3 Item 10) | | | X | | X | 9/1/2018 | X | | | | | | | | | | |
| JT | iShares Core MSCI EAFE ETF (Page 3 Item 12) | X | | | | | 1/2/2018 | | X | | | | | | | | | |
| JT | iShares Core MSCI EAFE ETF (Page 3 Item 12) | | X | | | | 12/31/2018 | | X | | | | | | | | | |
| JT | Mellife Inc. (Page 3 Item 16) | | X | | | X | 1/2/2018 | X | | | | | | | | | | |
| JT | United Parcel Service Inc. (Page 4 Item 5) | | | X | | X | 9/1/2018 | X | | | | | | | | | | |
| JT | Wells Fargo (Page 4 Item 8) | | X | | | X | 7/16/2018 | | X | | | | | | | | | |
| JT | Alphabet Inc CL A (Page 4 Item 10) | | | X | | X | 1/2/2018 | X | | | | | | | | | | |
| JT | Vanguard FTSE Dev Mkts ETF (Page 4 Item 13) | X | | | | | 12/31/2018 | | X | | | | | | | | | |
| | Anheuser Busch (Page 5 Item 7) | | X | | | | 1/2/2018 | | X | | | | | | | | | |
| | Amazon Com Inc (Page 5 Item 8) | | | X | | X | 1/2/2018 | | X | | | | | | | | | |
| | Bank of America (Page 5 Item 10) | X | | | | | 1/2/2018 | | X | | | | | | | | | |
| | Bank of America (Page 5 Item 10) | | X | | | | 12/26/2018 | | X | | | | | | | | | |
| | Black Knight Inc. (Page 5 Item 12) | X | | | | | 1/2/2018 | | X | | | | | | | | | |
| | First Republic Bank Corp (Page 6 Item 6) | X | | | | | 12/31/2018 | | X | | | | | | | | | |
| | General Electric (Page 6 Item 7) | | X | | | X | 1/2/2018 | | X | | | | | | | | | |
| | General Electric (Page 6 Item 7) | | X | | | X | 1/19/2018 | | X | | | | | | | | | |
| | Mellife Inc. (Page 6 Item 14) | | X | | | X | 1/2/2018 | | X | | | | | | | | | |

SCHEDULE B – TRANSACTIONS

Name: Joseph P. Kennedy III

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| SP, DC, JT | | Asset | Type of Transaction | | | | Check Box if Capital Gain Exceeded \$200 | Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable | Amount of Transaction | | | | | | | | | | |
|------------|---------|---|---------------------|------|--------------|----------|--|---|---------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|------------------------|---|
| | | | Purchase | Sale | Partial Sale | Exchange | | | A \$1,001- \$15,000 | B \$15,001- \$50,000 | C \$50,001- \$100,000 | D \$100,001- \$250,000 | E \$250,001- \$500,000 | F \$500,001- \$1,000,000 | G \$1,000,001- \$5,000,000 | H \$5,000,001- \$25,000,000 | I \$25,000,001- \$50,000,000 | J Over \$50,000,000 | K Over \$1,000,000* (Spouse/DC Asset) |
| SP | Example | Mega Corp. Stock | | | X | | X | 3/9/18 | | X | | | | | | | | | |
| | | Microsoft Corp Inc. (Page 6 Item 15) | | X | | | X | 1/2/2018 | | X | | | | | | | | | |
| | | Schwab Charles Corporation (Page 7 Item 5) | X | | | | | 12/26/2018 | | X | | | | | | | | | |
| | | BrightHouse Financial Inc. (Page 7 Item 15) | | X | | | | 1/2/2018 | X | | | | | | | | | | |
| | | Fairfax Financial Holdings Ltd (Page 7 Item 16) | X | | | | | 1/2/2018 | | X | | | | | | | | | |
| | | Fairfax Financial Holdings Ltd (Page 7 Item 16) | | X | | | | 12/31/2018 | | X | | | | | | | | | |
| | | Pinnacle Foods Inc. (Page 8 Item 1) | X | | | | | 1/2/2018 | | X | | | | | | | | | |
| | | Pinnacle Foods Inc. (Page 8 Item 1) exchanged for | | | | X | | 10/26/2018 | | | X | | | | | | | | |
| | | Conagra Foods Inc. (Page 5 Item 16) following | | | | | | | | | | | | | | | | | |
| | | cash/stock merger | | | | | | | | | | | | | | | | | |
| | | Conagra Foods Inc. (Page 5 Item 16) | | X | | | | 12/26/2018 | X | | | | | | | | | | |
| | | Anheuser Busch (Page 12 Item 1) | | X | | | | 1/2/2018 | | | X | | | | | | | | |
| | | Bank of America (Page 12 Item 3) | X | | | | | 1/2/2018 | | | | X | | | | | | | |
| | | Bank of America (Page 12 Item 3) | | X | | | | 12/26/2018 | | | X | | | | | | | | |
| | | Exxon Mobil Corp (Page 12 Item 12) | | | X | | | 1/2/2018 | | | X | | | | | | | | |
| | | Fairfax Financial Holdings Ltd (Page 12 Item 14) | X | | | | | 1/2/2018 | | | X | | | | | | | | |
| | | Fairfax Financial Holdings Ltd (Page 12 Item 14) | | X | | | | 12/31/2018 | | X | | | | | | | | | |
| | | First Republic Bank Corp (Page 12 Item 15) | X | | | | | 12/31/2018 | | X | | | | | | | | | |
| | | General Electric (Page 12 Item 16) | | X | | | | 1/2/2018 | | | X | | | | | | | | |
| | | General Electric (Page 12 Item 16) | X | | | | | 1/19/2018 | | X | | | | | | | | | |

SCHEDULE B – TRANSACTIONS

Name: **Joseph P. Kennedy III**

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| SP, DC, JT | | Asset | Type of Transaction | | | | Check Box if Capital Gain Exceeded \$200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable | Amount of Transaction | | | | | | | | | | |
|------------|---------|---|---------------------|------|--------------|----------|--|---|---------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|------------------------|---|
| | | | Purchase | Sale | Partial Sale | Exchange | | | A \$1,001- \$15,000 | B \$15,001- \$50,000 | C \$50,001- \$100,000 | D \$100,001- \$250,000 | E \$250,001- \$500,000 | F \$500,001- \$1,000,000 | G \$1,000,001- \$5,000,000 | H \$5,000,001- \$25,000,000 | I \$25,000,001- \$50,000,000 | J Over \$50,000,000 | K Over \$1,000,000* (Spouse/DC Asset) |
| SP | Example | Mega Corp. Stock | | | X | | | 3/9/18 | | X | | | | | | | | | |
| | | General Electric (Page 12 Item 16) | | X | | | X | 2/26/2018 | | | X | | | | | | | | |
| | | Goldman Sachs Fin. Sq. Treas Inst. (Page 13 Item 1) | X | | | | | 7/18/2018 | | | | | | X | | | | | |
| | | Goldman Sachs Fin. Sq. Treas Inst. (Page 13 Item 1) | X | | | | | 10/9/2018 | | | | X | | | | | | | |
| | | iShares Core MSCI EAFE ETF (Page 13 Item 3) | X | | | | | 1/2/2018 | | | | X | | | | | | | |
| | | iShares Core MSCI EAFE ETF (Page 13 Item 3) | | X | | | | 12/31/2018 | | | | | X | | | | | | |
| | | MetLife Inc. (Page 13 Item 8) | | X | | | X | 1/2/2018 | | | X | | | | | | | | |
| | | Brighthouse Financial (Page 13 Item 9) | | X | | | X | 1/2/2018 | | X | | | | | | | | | |
| | | Pinnacle Foods Inc. (Page 13 Item 13) | X | | | | | 1/2/2018 | | | X | | | | | | | | |
| | | Pinnacle Foods Inc. (Page 13 Item 13) exchanged for | | | | X | | 11/1/2018 | | | X | | | | | | | | |
| | | Conagra Foods Inc. (Page 12 Item 6) following | | | | | | | | | | | | | | | | | |
| | | cash/stock merger | | | | | | | | | | | | | | | | | |
| | | Conagra Foods Inc. (Page 12 Item 6) | | | X | | | 12/26/2018 | | X | | | | | | | | | |
| | | Schlumberger Ltd (Page 13 Item 16) | | X | | | | 12/26/2018 | | X | | | | | | | | | |
| | | Schwab Charles Corporation (Page 14 Item 1) | X | | | | | 12/26/2018 | | | X | | | | | | | | |
| | | SPDR Index SHS FDS Portfolio EMG MK ETF | | | | | | | | | | | | | | | | | |
| | | (Page 14 Item 2) | X | | | | | 12/31/2018 | | | X | | | | | | | | |
| | | Tractor Supply Co. (Page 14 Item 4) | X | | | | | 12/26/2018 | | X | | | | | | | | | |
| | | Vanguard FTSE Dev Mkts ETF (Page 14 Item 7) | X | | | | | 12/26/2018 | | | | X | | | | | | | |
| | | Vanguard MSCI Emg Mkts ETF (Page 14 Item 8) | X | | | | | 1/2/2018 | | | | X | | | | | | | |

SCHEDULE B – TRANSACTIONS

Name: Joseph P. Kennedy III

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

| SP, DC, JT | Asset | Type of Transaction | | | | Check Box if Capital Gain Exceeded \$200 | Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable | Amount of Transaction | | | | | | | | | | |
|------------|--|---------------------|------|--------------|----------|--|---|---------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|------------------------|---|
| | | Purchase | Sale | Partial Sale | Exchange | | | A \$1,001- \$15,000 | B \$15,001- \$50,000 | C \$50,001- \$100,000 | D \$100,001- \$250,000 | E \$250,001- \$500,000 | F \$500,001- \$1,000,000 | G \$1,000,001- \$5,000,000 | H \$5,000,001- \$25,000,000 | I \$25,000,001- \$50,000,000 | J Over \$50,000,000 | K Over \$1,000,000* (Spouse/DC Asset) |
| SP | Example Mega Corp. Stock | | | X | | X | 3/9/18 | | X | | | | | | | | | |
| | Vanguard MSCI Emg Mkts ETF (Page 14 Item 8) | | X | | | X | 12/31/2018 | | | X | | | | | | | | |
| | Brandy Wine Private Equity Partners (2018) LP | X | | | | | 7/1/2018 | X | | | | | | | | | | |
| | (Page 16 Item 11) Initial Capital Contribution | | | | | | | | | | | | | | | | | |
| | Anheuser Busch (Page 18 Item 13) | | X | | | X | 1/2/2018 | | X | | | | | | | | | |
| | Bank of America (Page 18 Item 14) | X | | | | | 1/2/2018 | X | | | | | | | | | | |
| | Bank of America (Page 18 Item 14) | | X | | | | 12/26/2018 | X | | | | | | | | | | |
| | Black Knight Inc. (Page 18 Item 15) | X | | | | | 1/2/2018 | X | | | | | | | | | | |
| | Fairfax Financial Holdings Ltd (Page 19 Item 8) | X | | | | | 1/2/2018 | X | | | | | | | | | | |
| | Fairfax Financial Holdings Ltd (Page 19 Item 8) | | X | | | | 12/31/2018 | X | | | | | | | | | | |
| | First Republic Bank Corp (Page 19 Item 9) | X | | | | | 12/31/2018 | X | | | | | | | | | | |
| | General Electric (Page 19 Item 10) | | X | | | X | 1/2/2018 | X | | | | | | | | | | |
| | General Electric (Page 19 Item 10) | | X | | | X | 1/19/2018 | X | | | | | | | | | | |
| | IShares Core MSCI EAFE ETF (Page 19 Item 12) | X | | | | | 1/2/2018 | | X | | | | | | | | | |
| | IShares Core MSCI EAFE ETF (Page 19 Item 12) | | X | | | | 12/31/2018 | | X | | | | | | | | | |
| | MetLife Inc. (Page 19 Item 16) | | X | | | X | 1/2/2018 | | X | | | | | | | | | |
| | Pinnacle Foods Inc. (Page 20 Item 4) | X | | | | | 1/2/2018 | X | | | | | | | | | | |
| | Pinnacle Foods Inc. (Page 20 Item 4) exchanged for | | | | X | X | 11/1/2018 | X | | | | | | | | | | |
| | Conagra Foods Inc. (Page 19 Item 2) following | | | | | | | | | | | | | | | | | |
| | cash/stock merger | | | | | | | | | | | | | | | | | |

SCHEDULE B - TRANSACTIONS

Name: **Joseph P. Kennedy III**

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| Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. | | Type of Transaction | | | | Check Box if Capital Gain Exceeded \$200 | Date (MM/DD/YYYY) or Quarterly, Monthly, or Bi- weekly, if applicable | Amount of Transaction | | | | | | | | | | |
|---|---------|---|--|----------|------|--|---|-----------------------|------------|---|---|---|--|--|--|--|--|--|
| SP, DC, JT | | Asset | | Purchase | Sale | Partial Sale | Exchange | | | | | | | | | | | |
| SP | Example | Mega Corp. Stock | | | | X | | X | 3/31/18 | | | | | | | | | |
| | | Conagra Foods Inc. (Page 19 Item 2) | | | X | | | | 12/26/2018 | X | | | | | | | | |
| | | BrightHouse Financial (Page 20 Item 2) | | | X | | | X | 1/2/2018 | X | | | | | | | | |
| | | Schurmerberger Ltd (Page 20 Item 7) | | | X | | | | 12/26/2018 | X | | | | | | | | |
| | | Schwab Charles Corporation (Page 20 Item 8) | | X | | | | | 12/26/2018 | X | | | | | | | | |
| | | SPDR Index SHS FDS Portfolio EMG MK ETF (Page 20 Item 9) | | X | | | | | 12/31/2018 | X | | | | | | | | |
| | | Tractor Supply Co. (Page 20 Item 11) | | X | | | | | 12/26/2018 | X | | | | | | | | |
| | | Vanguard FTSE Dev Mkts ETF (Page 20 Item 13) | | X | | | | | 12/31/2018 | | X | | | | | | | |
| | | Vanguard MSCI Emg Mkts ETF (Page 21 Item 11) | | X | | | | | 1/2/2018 | | X | | | | | | | |
| | | Vanguard MSCI Emg Mkts ETF (Page 21 Item 11) | | | X | | | | 12/31/2018 | X | | | | | | | | |
| | | Anheuser Busch (Page 22 Item 14) | | | X | | | X | 1/2/2018 | | X | | | | | | | |
| | | Bank of America (Page 22 Item 16) | | X | | | | | 1/2/2018 | | X | | | | | | | |
| | | Bank of America (Page 22 Item 16) | | | X | | | | 12/26/2018 | | X | | | | | | | |
| | | CVS Health Corp (Page 23 Item 4) | | | X | | | X | 1/2/2018 | | X | | | | | | | |
| | | Exxon Mobil Corp (Page 23 Item 8) | | | X | | | X | 1/2/2018 | | | X | | | | | | |
| | | Fairfax Financial Holdings Ltd (Page 23 Item 10) | | X | | | | | 1/2/2018 | | X | | | | | | | |
| | | Fairfax Financial Holdings Ltd (Page 23 Item 10) | | | X | | | | 12/31/2018 | | X | | | | | | | |
| | | First Republic Bank Corp (Page 23 Item 11) | | X | | | | | 12/31/2018 | X | | | | | | | | |
| | | General Electric (Page 23 Item 12) | | | X | | | X | 1/2/2018 | | X | | | | | | | |

SCHEDULE B - TRANSACTIONS

Name: Joseph P. Kennedy III

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| Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction. | | Type of Transaction | | | | Check Box if Capital Gain Exceeded \$200 | Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable | Amount of Transaction | | | | | | | | | | |
|---|--|---------------------|------|--------------|----------|--|---|---------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|--------------------------------|----------------------------------|-----------------------------------|------------------------------------|------------------------|---|
| | | Purchase | Sale | Partial Sale | Exchange | | | A \$1,001- \$15,000 | B \$15,001- \$50,000 | C \$50,001- \$100,000 | D \$100,001- \$250,000 | E \$250,001- \$500,000 | F \$500,001- \$1,000,000 | G \$1,000,001- \$5,000,000 | H \$5,000,001- \$25,000,000 | I \$25,000,001- \$50,000,000 | J Over \$50,000,000 | K Over \$1,000,000* (Spouse/DC Asset) |
| SP, DC, JT | Asset | | | | | | | | | | | | | | | | | |
| SP | Example Mega Corp. Stock | | | X | | X | 3/9/18 | | X | | | | | | | | | |
| | General Electric (Page 23 Item 12) | | X | | | X | 1/19/2018 | | X | | | | | | | | | |
| | iShares Core MSCI EAFE ETF (Page 23 Item 15) | X | | | | | 1/2/2018 | | | X | | | | | | | | |
| | iShares Core MSCI EAFE ETF (Page 23 Item 15) | | X | | | | 12/31/2018 | | | X | | | | | | | | |
| | Pinnacle Foods Inc. (Page 24 Item 8) | X | | | | | 1/2/2018 | | X | | | | | | | | | |
| | Pinnacle Foods Inc. (Page 24 Item 8) exchanged for | | | | X | X | 11/1/2018 | | X | | | | | | | | | |
| | Conagra Foods Inc. (Page 23 Item 2) following | | | | | | | | | | | | | | | | | |
| | cash/stock merger | | | | | | | | | | | | | | | | | |
| | Conagra Foods Inc. (Page 23 Item 2) | | X | | | | 12/26/2018 | X | | | | | | | | | | |
| | Schwab Charles Corporation (Page 24 Item 10) | X | | | | | 12/26/2018 | | X | | | | | | | | | |
| | SPDR Index SHS FDS Portfolio EMG MK ETF | | | | | | | | | | | | | | | | | |
| | (Page 24 Item 11) | X | | | | | 12/31/2018 | | X | | | | | | | | | |
| | Tractor Supply Co. (Page 24 Item 14) | X | | | | | 12/26/2018 | | X | | | | | | | | | |
| | Vanguard FTSE Dev Mkts ETF (Page 24 Item 15) | X | | | | | 12/31/2018 | | | X | | | | | | | | |
| | Vanguard MSCI Emg Mkts ETF (Page 24 Item 16) | X | | | | | 1/2/2018 | | X | | | | | | | | | |
| | Vanguard MSCI Emg Mkts ETF (Page 24 Item 16) | | X | | | | 12/31/2018 | | X | | | | | | | | | |

| | |
|-----------------------------|---------------|
| Name: Joseph P. Kennedy III | Page 32 of 36 |
|-----------------------------|---------------|

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,050. The 2019 limit is \$28,440. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

SCHEDULE D – LIABILITIES

Name: **Joseph P. Kennedy III**

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

| SP, DC, JT | Creditor | Date Liability Incurred MO/YR | Type of Liability | Amount of Liability | | | | | | | | | | |
|---------------|---|--|--|-----------------------|-----------------------|------------------------|-------------------------|-------------------------|---------------------------|-----------------------------|------------------------------|-------------------------------|-------------------|--|
| | | | | A | B | C | D | E | F | G | H | I | J | K |
| | | | | \$10,001- \$15,000 | \$15,001- \$50,000 | \$50,001- \$100,000 | \$100,001- \$250,000 | \$250,001- \$500,000 | \$500,001- \$1,000,000 | \$1,000,001- \$5,000,000 | \$5,000,001- \$25,000,000 | \$25,000,001- \$50,000,000 | Over \$50,000,000 | Over \$1,000,000* (Spouse/DC Liability) |
| | Example First Bank of Wilmington, DE | 5/16 | Mortgage on Rental Property, Dover, DE | | | | X | | | | | | | |
| JT | Wells Fargo Bank, N.A. | 09/2016 | Mortgage of Personal Residence, MA | | | | | | | X | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations), and positions solely of an honorary nature.

| Position | Name of Organization |
|----------------------------------|--|
| Member Board of Directors | Robert F. Kennedy Human Rights (formerly Robert F. Kennedy Memorial) |
| Director | Robert F. Kennedy Children's Action Corps. |
| Member Board of Directors | Sooner Foundation |
| Member Advisory Board | Brigham and Women's Hospital - Ann Romney Center for Neurologic Diseases |
| Member Senior Advisory Committee | Harvard University Institute of Politics |
| Member Board of Trustees | Kennedy Center for the Performing Arts |

SCHEDULE F – AGREEMENTS

Name: **Joseph P. Kennedy III**

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

| Date | Parties to Agreement | Terms of Agreement |
|------|----------------------|--------------------|
| NONE | | |
| | | |
| | | |
| | | |
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SCHEDULE G – GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives; gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent); local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

| Source | | Description | Value |
|----------|---------------------------------|---|-------|
| Example: | Mr. Joseph Smith, Arlington, VA | Silver Platter (prior determination of personal friendship received from the Committee on Ethics) | \$400 |
| NONE | | | |
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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

Use additional sheets if more space is required.

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List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

[illegible]